412 Rec'd PCT/PTO 2 4 MAY 2000

FORM PTO-1390	U.S. DIPAKIMENT OF CO	OMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NO.					
(REV 10-95)			2186PB-1					
	TRANSMITTAL LETTER TO THE	U.S. APPLICATION NO. (If known, see 35 CFR 1.5						
•	DESIGNATED/ELECTED OF CONCERNING A FILING UN	· · · · · · · · · · · · · · · · · · ·	09/509,738					
INTERNATION		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
	PCT/GB98/02937	30 September 1998	30 September 1997					
TITLE OF INV	ENTION		<u> </u>					
APPI ICANTIS	"PROTEIN INVO ) FOR DO/EO/US	DLVED IN ABSCISIC ACID SIGNALI	LING"					
		Γ, Michael and LEYMAN, Barbara						
Applicant here	ewith submits to the United States Designa	ted/Elected Office (DO/EO/US) the following	ng items and other information:					
1. []	This is a FIRST submission of items cond	terning a filing under 35 U.S.C. 371.						
2. [X]	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3. []	This express request to begin national examination procedures (35 U.S.C. 371(f))) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).							
.: 4.	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.							
5. []	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
	a. [ ] is transmitted herewith (required only if not transmitted by the International Bureau).							
•	b. [ ] has been transmitted by the International Bureau							
	c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. []	A translation of the International Application into English (35 U.S.C. 371(c)(2)).							
7. []	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).							
	a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).							
	b.   have been transmitted by the International Bureau.							
	c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.							
	d. [] have not been made and will							
8. []		nims under PCT Article 19 (35 U.S.C. 371(c	)(3)).					
9. IXI	An oath or declaration of the inventor(s) (		~ ~					
10.		tional Preliminary Examination Report under	er PCT Article 36 (35 U.S.C. 371(c)(5)).					
	16. below concern documents or informa		( , , , , , , , , , , , , , , , , , , ,					
11. []	An Information Disclosure Statement und							
12. [X]	An assignment document for recording. A	A separate cover sheet in compliance with 33	7 CFR 3.23 and 3.31 is included.					
13.	A FIRST preliminary amendment.							
[]	A SECOND or SUBSEQUENT prelimina	ry amendment.						
14.	A substitute specification.	-,						
15.	A change of power of attorney and/or add	ress letter						
	Other items or information:	ios ionor.						
16. [X]	Copy of Notice of Missing Requirements	"EXPRESS MAIL" MAILING L DATE OF DEPOSIT:	ABEL NUMBER: EL417658251 5-24-00					
		THE UNITED STATES POST	HIS PAPER OR FEE IS BEING DEPOSITED WITH AL SERVICE "EXPRESS MAIL POST OFFICE TO IDER 37 CFR 1.10 ON THE DATE INDICATED					
/2000 PVOLPE	00000123 09509738		ED TO THE ASSISTANT COMMISSIONER FOR					
:154	130.00 OP	TYPED OR PRINTED NAME;						
		SIGNATURE: FINI A	e Messer -					

Page 1 of 2

U.S. APPLICATION NO. (If known 09/509		INTER	NATIONAL APPLICATION NO. PCT/GB98/02937	A	ATTORNEY DOCK	ET NUMBER 6PB-1		
17. [X] The following fees	·	<u></u>			CALCULATIONS	PTO USE ONLY		
BASIC NATIONAL FEE (	\$840.00							
, ·	n prepared by the EPO or JPo ary examination fee paid to U			3040.00				
premima	iny examination ree paid to c		(37 CFR 1. <del>4</del> 02)	\$670.00				
No international prelin but international searcl								
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid USPTO								
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisified provisions of PCT Article 33(2)-(4)								
	ENT	ER AP	PROPRIATE BASIC FEE	AMOUNT =	\$			
Surcharge of \$130.00 for fur months from the earliest clai	rnishing the oath or declaration imed priority date (37 CFR 1	on later .492(e)	than [ ] 20 [X]	30	\$ 130.00			
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE				
Total Claims		- 20 =		x \$18.00	\$			
Independent Claims		- 3 =		x \$78.00	\$			
MULTIPLE DEPEND	ENT CLAIMS(S) (if application			+ \$260.00	\$			
		Te	OTAL OF ABOVE CALCU	JLATIONS =	\$ 130.00			
Reduction of ½ for filing by (Note 37i CFR 1.9, 1.27, 1.2		Verified	Small Entity Statement must	also be filed	\$			
			S	UBTOTAL =	\$			
Processing fee of \$130.00 fo months from the earliest clair	\$							
	\$ 130.00							
	ed assignment (37 CFR 1.21) CFR 3.28, 3.31) \$40.00 per p		ne assignment must be accom	panied by an	\$ 40.00			
			TOTAL FEES E	NCLOSED =	\$ 170.00			
		Amount to be: refunded	\$					
	<del></del>				charged	\$		
a. [X] A check in the	amount of \$ 170.00 to	o cover i	the above fees is enclosed					
a. [N] A check in the	amount of \$ <u>170.00</u> to	COVEL	the above tees is eliciosed.					
b. [ ] Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed								
NOTE: Where an appropriate time limit under 37 cfr 1.494 or 1.495 has not been met, a petition to revive (37 cfr 1.137(a) or (b)) must be filed and granted to restore the application pending status.								
SEND ALL CORRESPONDENCE TO:								
SHERIDAN ROSS P.C.  1560 Broadway, Suite 1200  Denver, Colorado 80202-5141  Telephone: (303) 863-9700  Facsimile: (303) 863-0223  Joseph E. Kovarik  Registration No. 33,005								



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: ASSISTANT COMMISSIONER FOR PATENTS Box PCT Washington, D.C. 20231

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U.S. APPLICATION NO.	<del>- i</del>	FIRST NAMED	APPLICANT	ATTY, DOCKET NO.
09/509738	BL	ATT	М	2186PB-1
SHERIDAN ROSS			INTERN	IATIONAL APPLICATION NO.
1560 BROADWAY			Po	CT/GB98/02937
SUITE 1200 DENVER, CO 80202 5141			I.A. FILING	DATE PRIORITY DATE
DENVER, 60 00202 3141			30 SEP	
			DATE MAILED:	1 & MAY 2000
NOTIFICATION OF MIS	SING REQUIREM DESIGNATED/EL			N THE UNITED
1. The following items have been subm				tent and Trademark Office as
a Designated Office (3'				
X an Elected Office (37 C X U.S. Basic National Fee.	CFR 1.495):		回區	@ E 100 E 5
Copy of the international application	ation in:		11U1-E	PEINE!
a non-English language			1131	(AV 10 and
English.			- 111111 - 13	IAY 19 2000
Translation of the international		sh.	10 01	
Oath or Declaration of inventors  Copy of Article 19 amendments			SHERI	DAN HOSS P.C.
Translation of Article 19 amend	lments into English.			
The International Preliminary E	xamination Report in	English and its	Annexes, if any.	
Translation of Annexes to the Ir	nternational Prelimina	ry Examination	Report into Engl	ish.
Preliminary amendment(s) filed Information Disclosure Stateme				<b>_</b> ·
Assignment document.	m(s) rucu			<del></del> '
Power of Attorney and/or Chan	ge of Address.			
Substitute specification filed		<u> </u>		
☐ Verified Statement Claiming Sn  ☑ Priority Document.	nall Entity Status.	·		
Copy of the International Search	h Report 🔀 and copie	s of the reference	ces cited therein.	
Other:				
2. The following items MUST be furn	ished within the perio	d set forth below	v in order to com	plete the requirements for
acceptance under 35 U.S.C. 371:	into English. Note a	processing fee	will be required i	if submitted later than the
appropriate 20 or 30 months fro	m the priority date.			
	on is defective for	the reasons ind	licated on the a	ttached Notice of Defective
Translation.  b. Processing fee for providing	the translation of the	application and/	or the Annexes la	ater than the appropriate 20 or
30 months from the priority date	e (37 CFR 1.492(f)).			
x c. Oath or declaration of the inv	ventors, in complianc	e with 37 CFR I	.497(a) and (b),	identifying the application by
the International application num	moer and international	noly with 37 CF	R 1.497(a) and (	b) for the reasons indicated
on the attached PCT/D	O/EO/917.			
X .!. Surcharge for providing the	oath or declaration la	er than the appr	opriate 20 or 30 i	months from the priority date
(37 CFR 1.492(e)).  3. Additional claim fees of \$	as a Diárge e	ntity 🗌 small er	ntity, including a	ny required multiple dependent
claim fee, are required. Applicant mus	st submit the additiona	l claim fees or c	ancel the addition	nal claims for which fees are
due. See attached PTO-875.				
ALL OF THE ITEMS SET FORTH	IN 2(a)-2(d) AND 3	ABOVE MUST	BE SUBMITTI	ED WITHIN ONE MONTH
FROM THE DATE OF THIS NOTIC THE APPLICATION, WHICHEVE	CE OR BY 21 OF	R 🗷 31 MONTI	HS FROM THE	PRIORITY DATE FOR
ABANDONMENT.	KISLAIEK. FAIL	UKE IU PROI	FERLI RESPO	ND WILL RESOLT IN
The time period set above may be exte	. J. J L., Clina a matici	on and foo for a	vencion of time	under the provisions of 37
The time period set above may be exte CFR 1.136(a).	nded by filing a petiti	on and ice for e.	Atension of three	ander the provisions of 57
* *				stus will be senselled
4. Translation of the Annexes MUST Note processing fee will be required if	be submitted no later	that the time per	riod set above or the priority date.	the annexes will be cancelled.
5 The Article 19 amendments are	cancelled since a tran	slation was not p	provided by the a	ppropriate 20 (37 CFR.
494(d)) or 30 (37 CFR 1.495(d)) mont	hs from the priority d	ate.		
Applicant is reminded that any commu	nication to the United	States Patent an	id Trademark Off	fice must be mailed to the
address given in the heading and include	te the U.S. applicatio	n no. shown abo	ive. (37 CFR 1.5	)
A copy of this notice	MUST be rea	turned with	h this resp	onse.
Enclosed:				
PCT/DO/EO/917	☐ Notice of Defect	ve 1 ranstation	CHRIS	TINE WASHINGTON
PTO-875 FORM PCT/DO/EO/905 (December 1	1997)		Telephone	: 703-305-3752